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- NO. 036 - - P. 10

PTO/5B/81 (04-05)

Approved for use through 11/30/2006. CMB 0551-0038
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)	
Title of Invention	PROCESSES AND DEVICES FOR THE LIQUID TREATMENT OF SUSPENDED PARTICLES
As the below named inventor(s), I/we declare that:	
This declaration is directed to:	
<input type="checkbox"/> The attached application, or <input checked="" type="checkbox"/> Application No. <u>10/556,239</u> , filed on <u>05/10/2004</u> , <input type="checkbox"/> as amended on _____ (if applicable);	
I/we believe that I/we are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;	
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;	
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.	
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.	
FULL NAME OF INVENTOR(S)	
Inventor one:	Thomas SCHNELLE
Signature:	<u>[Signature]</u> Citizen of: <u>Germany</u>
Inventor two:	Torsten MÜLLER
Signature:	<u>[Signature]</u> Citizen of: <u>Germany</u>
Inventor three:	
Signature:	
Inventor four:	
Signature:	
<input type="checkbox"/> Additional inventors or a legal representative are being named on _____ additional form(s) attached hereto.	

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NO. 036 P. 11

PTO/SB/96 (09-04)

Approved for use through 6/7/2008. OMB 0851-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Thomas SCHNELLE et al.Application No./Patent No.: 10/556,239Filed/Issue Date: May 10, 2004Entitled: PROCESSES AND DEVICES FOR THE LIQUID TREATMENT OF SUSPENDED PARTICLESEVOTEC Technologies GmbH, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

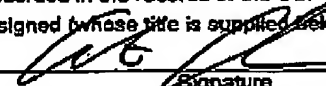
3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

24TH OF APRIL 2006

Date

PROF. CARSTEN CLAUSSEN

Printed or Typed Name

CEO

Title

Telephone Number

sf-2050203

Docket No. 606212000100

COPY

Docket No. 606212000100

**ASSIGNMENT
JOINT**

THIS ASSIGNMENT, by Thomas SCHNELLE and Torsten MUELLER, (hereinafter referred to as the assignors), residing at Koppenstrasse 65, Berlin, Germany, 10243 and Hartriegelstrasse 39, Berlin, Germany, 12439, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in **PROCESSES AND DEVICES FOR THE LIQUID TREATMENT OF SUSPENDED PARTICLES**, set forth in an application for Letters Patent of the United States, having an oath or declaration executed on even date herewith; bearing Serial No. 10/556,239 and filed on May 10, 2004; and

WHEREAS, EVOTEC Technologies GmbH, a corporation duly organized under and pursuant to the laws of Germany and having its principal place of business at Merowinger Platz 1a, 40225 Duesseldorf, Germany (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

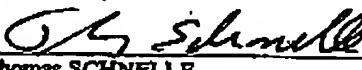
AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.


AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

4.1.2006
Date

4.1.2006
Date



Thomas SCHNELLE


Torsten MUELLER

SF-2050169

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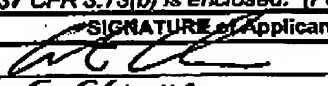
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NO. 036 P. 13

PTO/SB/81 (04-05)

Approved for use through 11/30/2005. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number		10/556,239	
	Filing Date		May 10, 2004	
	First Named Inventor		Thomas SCHNELLE	
	Title	PROCESSES AND DEVICES FOR THE LIQUID TREATMENT OF SUSPENDED PARTICLES		
	Art Unit	Not Yet Assigned		
	Examiner Name	Not Yet Assigned		
		Attorney Docket No.	606212000100	
I hereby revoke all previous powers of attorney given in the above-identified application.				
I hereby appoint:				
<input checked="" type="checkbox"/> Practitioners associated with the Customer Number:		20872		
OR				
<input type="checkbox"/> Practitioner(s) named below:				
Name	Registration Number	Name	Registration Number	
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.				
Please recognize or change the correspondence address for the above-identified application to:				
<input checked="" type="checkbox"/> The address associated with the above-mentioned Customer Number:				
OR				
<input type="checkbox"/> The address associated with Customer Number:				
OR				
<input type="checkbox"/> Firm or Individual Name				
Address				
City	State	Zip		
Country	Telephone	Email		
I am the:				
<input type="checkbox"/> Applicant/Inventor.				
<input checked="" type="checkbox"/> Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)				
SIGNATURE of Applicant or Assignee of Record				
Signature			Date	24TH OF APRIL 2006
Name	C. C. CLAUSER		Telephone	
Title and Company	CEO			
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.				
<input checked="" type="checkbox"/> Total of		1 forms are submitted.		